Reduction in the COVID-19 pneumonia case fatality rate by silver nanoparticles: A randomized case study

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Reduction in the COVID-19 pneumonia case fatality rate by silver nanoparticles:

2 A randomized case study

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- 9 Financial competing interest: The author LW is employed at the company BHS Medical
- 10 Solutions GmbH that sponsored the material AgSept® in the clinical trial. The author LW
- declares that she was not involved neither in the clinical trial, nor the statistical analysis of the
- 12 data.

1

13 Abstract.

- Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), which causes coronavirus
- disease 2019 (COVID-19), has devastated mankind. To date, no approved treatment is
- available to completely combat this disease. Although many studies reported the potential of
- silver nanoparticles' (AgNPs) action mechanism and effect against SARS-CoV-2, this is the
- first clinical trial that aimed to prove this effect. This open-label, randomized, parallel-group,
- investigator-initiated study (IIS) was conducted in India from 2021 to 2022 and included 40
- 20 patients diagnosed with moderately severe/ severe COVID-19 pneumonia. This study proved
- 21 a significantly higher survival rates (p<0.05) and significantly lower number of days until
- 22 supplemental oxygenation was required (p<0.0001) for patients receiving intravenous AgNPs
- in form of AgSept® in addition to the standard COVID-19 treatment. This study highlights the
- importance of intravenous AgNP administration in the treatment of virus-induced pneumonia.
- 25 Keywords: COVID-19, Silver nanoparticles, AgNPs, Severe pneumonia, Mortality,
- 26 Supplemental oxygenation

27 Word counts.

- 28 for abstract: 134 words
- 29 complete manuscript word count: 4,013 words
- 30 number of figures/tables: five figures and five tables

Background.

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), which causes coronavirus disease 2019 (COVID-19) has devastated mankind. First detected in China in November 2019, over 620 million cases and 6.5 million deaths were reported worldwide by September 20221. Studies have demonstrated that all countries, including India, have been affected with many cases². As a highly transmissible disease, spread of the virus can occur via the release of aerosols during sneezing and coughing from an affected individual³. COVID-19 can cause a wide range of symptoms such as cough, fever, cold, sore throat, headache, conjunctivitis, or loss of appetite^{4,5}. In severe cases it can lead to severe respiratory distress with ground glass opacity of the lungs and multiorgan failure^{4,5}. Different comorbidities, such as chronic obstructive pulmonary disease, chronic kidney and liver disease, diabetes, and hypertension as well as older age and male sex can lead to increased mortality rates^{6,7}. Therefore, despite the different treatment protocols applied, a wide range of case fatality rates of 11% to 62% was observed worldwide⁷. A prospective analysis in India reported older age as a high-risk factor for mortality, with increased mortality rates of 56.5% after 30 days⁸.

Currently, prophylactic vaccination against SARS-CoV-2 is considered the only effective way to prevent the progression of COVID-19 to severe disease⁹. Oxygen therapy, corticosteroids and antithrombotic medicines are the only drugs proven to be effective for COVID-19 infection¹⁰. Nevertheless, only low-dose steroid treatment proved to be effective by decreasing the death rate by one-third among ventilated severe COVID-19 patients¹¹. Although the broad-spectrum antiviral remdesivir has been approved for emergency use in COVID-19 patients, it showed mixed results¹² and was subsequently discarded.

Recent studies^{13–15} have identified nanotechnology products (nanomaterials) as possible therapeutic agents against SARS-CoV-2 due to their extensive broad antiviral activity. Nanomaterials are defined as structures approximately 1-100 nanometers (nm) in size and are used in many parts of our lives, such as agriculture, electronics, imaging and medical purposes. Most recent applications are in the fields of medical implants, imaging and detection systems, cancer treatment, and vaccines. To date, the Federal Drug Agency (FDA) and the European Medicines Agency (EMA) have approved approximately 58 nanoparticle-based therapies and imaging agents^{13–15}.

In comparison to their corresponding chemical elements at higher scales, nanoparticles possess an unique profile of physical, chemical, and biological properties, which is based on their higher surface-to-volume ratio^{16,17}. Silver nanoparticles (AgNPs) have well-established antimicrobial and antifungal effects¹⁸. The antiviral activity of AgNPs against different types of viruses, including human immunodeficiency virus, influenza virus, hepatitis B virus, monkey-

pox virus, herpes simplex virus, tacaribe virus, and other respiratory viruses, has been investigated^{19,20}. The antiviral effects of AgNPs may be due to the binding of AgNPs to the surface glycoproteins of RNA viruses preventing the fusion of the virus to host cells²¹. By binding to those surface proteins, receptors on the viral surface are blocked and the membrane potential is altered leading to a decrease in virus penetration²². In addition to interference with the viral attachment process and penetration into host cells, AgNPs can interfere with the viral genome and thus, block the viral replication inside the host cell²³. This action is either directly or by the production of reactive oxygen species (ROS), which can interact with biomolecules²⁴. Moreover, several studies have indicated the effect of AgNPs on hemagglutinin and neuraminidase proteins of viruses, which are the main factors in pathogenicity^{25,26}. In an in vitro study on a Vero cell line infected with SARS-CoV-2, AgNPs 10 nm in size were found to inhibit viral replication with minimal toxicity²⁷. In the A549 epithelial cell line, AgNPs with a 10–12 nm size distribution at a dose of 50 microgram/ml have shown maximum antiviral properties without toxicity²⁸.

In addition to antiviral activity, the anti-inflammatory, antiplatelet, angiogenesis, and anticancer properties of AgNPs have been widely described¹⁹. A recent study indicated that AgNP administration in mice resulted in a significant reduction in proinflammatory cytokines such as interleukin 6 (IL-6), tumor necrosis factor alpha (TNF-α), chemokine ligand 5 (CCL5), and interferons (IFNs)²⁹. In a preclinical study, BALB/c mice were inoculated with AgNPs and respiratory syncytial virus (RSV), and significant antiviral and immunomodulatory effects were observed. AgNPs up to a dose of 4 mg/kg of body weight were used without any significant toxicity³⁰. Remarkably, the application of AgNPs as adjuvants in vaccines against respiratory viruses has been reported³¹. In this mouse trial, AgNPs led to bronchus-associated lymphoid tissue neogenesis with increased antigen specific immunoglobin A production³¹.

Many researchers have summarized the potential of AgNPs in COVID-19 treatment^{13,19,20,27,32,33}. Figure 1 schematically represents the hypothesized function of AgNPs as an additional treatment for COVID-19. The antiviral activity, ranging from interaction with the viral surface and interference in viral binding to trafficking and binding to the released viral genome, is depicted. Moreover, the capability of AgNPs to abrogate inflammatory cytokines terminating inflammation and fibrosis in COVID-19 (reviewed in ²⁴) is summarized (Figure 1).

This current investigator-initiated study (IIS) is the first clinical trial that aimed to use AgNPs administered intravenously, as adjuvant treatment, in addition to the standard of care, to treat moderate-severe to severe COVID-19-induced pneumonia. We hypothesize that AgNPs might reduce mortality among COVID-19 patients due to their combined antiviral, anti-inflammatory, anticoagulant and antimicrobial properties.

101 Methods.

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Study design.

This was an open, randomized, parallel-group, active-controlled, investigator-initiated study 103 (IIS) that took place in India and recruited patients with moderately severe and severe COVID-104 19 pneumonia in June 2021 and January 2022, during the Delta variant (B.1.617.2), and 105 Omicron variant (B.1.1.529) peak waves of the pandemic. This study was designed to 106 determine the efficacy and safety of investigational product (IP) AgNPs (AgSept®) used as an 107 108 additional treatment to the standard of care for COVID-19 patients. This study was conducted 109 in the general COVID wards of the College of Medicine and Sagore Dutta Hospital, Kolkata, 110 India. All patients received standard therapy for moderately severe or severe COVID-19 111 pneumonia, which included oxygen treatment, corticosteroids, antibiotics, low-molecularweight heparin or other forms of anticoagulation, and medications for concomitant diseases. 112 This study included 40 patients with moderately severe or severe COVID-19 pneumonia, who 113 met the protocol criteria. The patients were randomized at a 1:1 ratio, using the block 114 115 randomization method. Half of the patients (20 patients) received additional treatment with AgNPs, while the other half did not receive any additional treatment. Each treatment group 116 consisted of 10 patients diagnosed with the Delta variant and 10 patients with the Omicron 117 variant of SARS-CoV-2. This sample size was chosen for the pilot study to identify preliminary 118 119 results. The severity of COVID-19 was assessed with early warning scores (EWS). Several studies 120 have highlighted the impact of monitoring the prognosis of severity of an COVID-19 infection 121 by the national early warning score and its modification national early warning score-2 122 123 (NEWS2)^{34–37}. It was demonstrated that one of the best performing models to predict ICU 124 admittance for COVID-19 patients is the NEWS2 score³⁷. With a threshold NEWS2 score ≥5, 125 the sensitivity and specificity of 84.7% (95% CI 78.9% to 89.4%) and 44.3 (95% CI 41.5% to 47.0%), respectively were calculated regarding prediction of critical illness within 24 hours after 126 presentation. A NEWS2 of 5 or more at admission can prognosticate poor outcomes³⁵. The 127 recruiting site used the data collected by the Indian EWS system, which were subsequentially 128 converted into a NEWS2 for statistical purposes. The difference between the Indian EWS and 129 the NEWS2 consisted of the "age" parameter, which is found only in the Indian EWS (Figure 130 131 2). This study included adult patients of both sexes with a positive real-time reverse transcription 132

polymerase chain reaction (RT-PCR) test for qualitative detection of nucleic acids from SARS-

CoV-2 who were clinically diagnosed with moderately-severe or severe COVID-19 pneumonia

and had an Indian EWS ≥ 5. All patients included in this study signed the approved inform

- consent form. If patients were unconscious, relatives with respective decree were obtained for consent.
- This study included the following visits: screening visit including hospital admission and the start of standard COVID-19 treatment; randomization visit at the start of the study-specific
- treatment with AgNPs; and follow-up visits (on days 1, 3, 5 and 30 after randomization). A
- schematic representation of the recruiting process and the trial design is depicted in Figure 3.
- 142 All patients received standard treatment for moderately-severe or severe COVID-19
- 143 pneumonia, according to the judgment of the investigator and in line with the national
- guidelines or best standard of care. In addition to this standard treatment, after randomization,
- half of the patients received AgNPs (1.8 mg dissolved in 500 ml of normal saline solution)
- delivered intravenously within 30 minutes infusion, for three consecutive days, taking all
- aseptic precautions. The total quantity of AgNPs administered in the study was 5.4 mg/patient,
- which represented 30% of the human equivalent dose (HED).
- To define the HED of the AgNPs, preclinical studies were used to determine no adverse effect
- level (NOAEL). Morris et al. defined an intravenous dosage of 4 mg/kg of body weight in
- 151 BALB/c mice²⁹.Using this value, the systemic dose of AgNPs for the human model was
- calculated based on the following formula³⁸.
- 153 The HED was calculated considering the reference body weight of a mouse of 0.02 kg,
- reference body weight of a human as 60.0 kg and a NOAEL of 4 mg/kg.

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$$HED\left[\frac{mg}{kg}\right] = animal\ NOAEL\left[\frac{mg}{kg}\right] x\ \frac{weight_{animal}\ [kg]}{weight_{hyman}\ [kg]}^{(1-0.67)}$$

- 156 Equation 1. Calculation of the human equivalent dose (HED).
- Using Equation 1, the HED was calculated as 0.3 mg/kg, whereas considering a 60 kg patient,
- the HED would be 18 mg. The systemic intravenous route of delivery was chosen due to the
- patients' status, and the AgNP dose for this study was calculated as 30% of the HED to prevent
- 160 any potential toxicity.
- AgNPs were supplied by BHS Medical Solutions GmbH, Germany in the form of the AgSept®
- product used for research purposes. AgNPs were 99.99% pure, with a size distribution of 10
- nm, spherical shape and good water solubility. The concentration of AgNPs was 1,000 parts
- 164 per million (ppm) in AgSept®.
- All patients were clinically monitored for consciousness level, blood pressure (BP), heart rate
- 166 (HR), oxygen saturation (SpO₂), respiratory rate, body temperature, status at the AgNP
- infusion site and routine laboratory tests. Patients whose health status improved and were

- hemodynamically stable were discharged according to the hospital guidelines. All patients were observed until discharge and followed up for 30 days.
- The primary study objective was to determine the efficacy of the given AgNPs in addition to standard therapy for treating moderately-severe to severe COVID-19 pneumonia patients with or without comorbidities, in terms of hemodynamic stability, oxygen requirement, duration of hospital stay and mortality prevention. The secondary objective was to determine the profile of intravenous use of AgNPs on changes in clinical findings (pulse rate, BP, oxygen saturation, and respiratory rate), as well as the blood parameters and collection of safety data.
- This study was conducted in accordance with the Declaration of Helsinki and Good Clinical Practice guidelines and was approved by the Institutional Ethics Committee, College of Medicine and Sagore Dutta Hospital, India. This study was registered in the Clinical Trial Registry of India (CTRI) with the number CTRI/2021/09/036781.

Statistical information.

Statistical analyses were performed using the Statistical Analysis System (SAS) statistical software package, version 9.4 (SAS Institute Inc., Cary, NC, USA). The baseline variables were compared by the independent sample Wilcoxon-test for continuous variables and the chi-square test (Fisher's exact test was applied if the cell size was smaller than 5) for nominal variables. Kaplan-Meier analysis was performed, and groups were compared by using the log rank test. Two Cox regression models were used to compare treatment groups, including the baseline national and Indian EWSs as explanatory variables. Mean values for laboratory tests (Day 1 and Day 3) were compared between treatment groups by two-sample t tests. The survival rates were analyzed by Fisher's exact test. The P values were determined as indicated in each figure legend. P values < 0.05 were considered significant.

194 Results.

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Baseline results.

- 196 This IIS included 40 patients diagnosed with moderately severe or severe COVID-19
- 197 pneumonia, predominantly males (75% of participants) with a mean age of 69.5±13.5 years.
- 198 There were no significant differences between the study groups with regard to the severity of
- 199 COVID-19-induced pneumonia, consciousness status, concomitant diseases and vital signs,
- 200 except for concomitant pulmonary disease and body temperature at inclusion (Table 1).

201 Efficacy results.

- The efficacy of the treatment was calculated using the 5-day and 30-day survival rates, health
- status improvement, period of time until intensive care unit (ICU) discharge, need and duration
- of O₂ supplementation, and evolution of the laboratory results.
- When analyzed as stand-alone values, for both, the 5-day and 30-day survival rates, there
- were significant differences between the groups, in favor of the AgNP treatment group
- 207 (p<0.05). Within the AgNP treatment group, 13 patients were alive on Day-5, while in the
- control group, only 5 patients survived. By Day-30, 2 patients were lost to follow-up (one patient
- in each study group), and 12 patients in the AgNP treatment group; and 3 patients in the control
- 210 group were alive (Table 2).
- When severity EWSs were added as an explanatory factor, for both, national (NEWS2) and
- 212 Indian EWSs, the 5-day survival rate showed a significant difference in favor of the AqNP
- 213 treatment group (p <0.05) (Table 3).
- We analyzed the mortality rates by Day-5, based on the national EWS (NEWS2) ranking and
- observed that they were lower within the AgNP treatment group (Figure 4).
- 216 Supplemental oxygenation with a low-flow system via nasal cannula was required for all
- 217 patients starting at inclusion. On the first day of treatment (Day-1) all patients received
- 218 supplemental oxygenation, while only one patient in the control group needed assisted
- ventilation. A significant difference between the treatment groups was observed with regard to
- the number of days until supplemental oxygenation was required (p<0.0001). Supplemental
- 221 oxygenation need was analyzed among surviving patients on Day-5. At this timepoint,
- supplemental oxygenation was needed by 3 out of 5 surviving patients (60%) in the control
- group, while none of the 13 surviving patients from the AgNP treatment group required support
- (p= 0.0020) (Table 4). Interestingly, in the AgNP treatment group the need for supplemental
- oxygenation decreased from Day 1 to Day 5 (Figure 5).

226	All patients who survived the 5 day period showed improvement on Day 5, which was also
227	confirmed by Day 30 follow-up survival data. It should be mentioned that despite COVID-19
228	pneumonia severity, due to the limited bed capacity in the ICU during the peak outbreak period
229	in India, none of the patient were hospitalized in the ICU on Day 1, so the ICU length of stay
230	parameter was not calculated.
231	The evolution in the laboratory results showed only minor differences between the groups
232	during the hospital stay, with no statistical significance except for the creatinine results (Day 3,
233	p<0.05), and direct bilirubin values (Day 3, p<0.05) (Table 5).
234	Safety results
235	Safety data were collected during the hospital stay and at the telephonic follow-up visit on Day
236	30. There were no reported adverse events related to AgNP administration during the
237	observation period.
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240 Discussion.

The current IIS is the first clinical trial examining the efficacy and safety of intravenous administration of AgNPs in the treatment of adult patients diagnosed with moderately severe and severe COVID-19 pneumonia that collected survival data for at least 30 days after the first signs of the disease and confirmatory positive PCR tests. The treatment agent was selected according to available preclinical and in vitro studies on efficacy and safety data of AgNP use for different viral infections, including coronaviruses²⁷. The use of AgNPs in the medical field has recently gained interest, and various researchers have addressed their potential as additional drugs in the treatment of COVID-19^{14,19,39}.

This study included elderly patients with severe COVID-19 pneumonia (mean age 69.5±13.5 years), who were predominantly male (75.0%) and unconscious (50.0% of the total group; 60.0% in the AgNP treatment group, respectively 40.0% in the control group), with mean NEWS2s of 8.0±2.7 for the AgNP treatment group, and 6.8±2.5 for the control group, and multiple comorbidities [diabetes (77.5%), and HBP (62.5%)] as aggravating factors for COVID-19 pneumonia evolution. All patients included received treatment as per national COVID-19 treatment guidelines³⁶; nevertheless, despite the severity of the COVID-19 pneumonia at presentation, due to the limited ICU bed capacity, none of the included patients were treated in the ICU. Additionally, at inclusion, there were no significant differences observed between the AgNP treatment group and the control group.

There are multiple lines of evidences that elderly patients, due to age-dependent decline in immunity corroborated with multiple comorbidities, are commonly affected by severe forms of COVID-19 pneumonia and old age is a significant predictor of mortality from COVID-19 pneumonia^{8,37,38,39}.

In this study, it was noticed that on Day 5, survival was significantly higher in the group receiving AgNPs in addition to the standard of care for COVID-19 (65.0% of patients survived), than in the control group, which received only standard treatment for COVID-19 (25.0% of patients survived) (p<0.05). The same trend was maintained at the 30-day follow-up, when in the AgNP treatment group, 63.2% of patients survived compared to 15.8% in the control group (p<0.05).

268 (p<0.05).

The 30-day mortality rates observed for the total group were 60.5%, higher than those mentioned in other larger studies from India, in which mortality rates were 56.6% among severe COVID-19 cases⁸. This difference might be explained by the fact that in the current study, none of the patients had access to the ICU due to limited bed capacity, and the included population was older overall and had more comorbidities. Nevertheless, when 30-day mortality was evaluated only for patients receiving AgNPs in addition to standard treatment for COVID-19,

survival rates were higher (84.2%) than those mentioned in the medical literature, suggesting 275 an adjuvant effect of AgNPs when used in addition to standard treatment for COVID-19. 276 277 There were significant differences between the groups in regard to the need and the length of 278 supplemental oxygenation (p<0.05), in favor of the AgNP treatment group, in which the mean 279 duration of supplemental oxygenation was 3.2±1.09 days and none of the patients required 280 supplemental oxygenation on Day-5. In contrast, in the control group 3 out of the 5 surviving 281 patients required supplemental oxygenation on Day-5. Laboratory test evolution during hospitalization identified very few significant differences 282 283 between the groups, namely in the mean values for creatinine, total bilirubin, direct bilirubin, and hemoglobin (p<0.05). 284 The effects observed for the intravenous use of AgNPs might be explained by their already 285 known extensive broad antiviral, anti-inflammatory, antiplatelet and antimicrobial activities¹⁹. 286 287 There were no adverse events observed in this study, which is in line with other study data in 288 which commercially available oral doses of AqNPs were used with daily ingestion rates of 100 μg/day for 10 ppm of AgNPs and 480 μg/day for 32 ppm of AgNPs, resulting in no significant 289 290 clinical changes in physical findings, morphology or metabolic, hematologic, or urine profiles⁴⁰. Additionally, the AqNP dose used for the current study, was selected based on data from in 291 vivo studies in which AgNPs with a 10-12 nm size distribution at a dose of 50 microgram/ml 292 showed maximum antiviral properties without toxicity28. In addition, the AgNP dose was 293 calculated as only 30% of the HED (3 doses of 1.8 mg/day= 5.4 mg/patient) for minimal toxicity 294 risks, and the AqNPs were 99.99% pure at a concentration of 1,000 ppm, and a size distribution 295 of 10 nm, spherical shape, with good water solubility. 296 The current study findings are in line with the published literature regarding AgNPs as ideal 297 candidates for developing nanotherapeutics against different viral infections^{25,41,42,43,44, 45}. 298 299 Recent in vitro studies demonstrated that various surface coatings and particle sizes of AgNPs 300 have different virucidal activities on SARS-CoV-2, of which 50-nm branched polyethyleneimine (BPEI) showed the strongest antiviral effect. In addition, the AgNP efficacy was positively 301 correlated with the corresponding zeta potential⁴⁶. Preclinical data on the effectiveness of 302 AgNPs against other coronaviruses (e.g., avian) already exist, which further strengthens the 303 hypothesis on their application as a potential treatment⁴⁷. 304 The effects observed for the intravenous use of AgNPs might be explained by their extensive 305 broad antiviral, anti-inflammatory, antiplatelet and antimicrobial activities, as well as their 306

preference for binding to thiol groups, which can be predominantly found at the cysteine

residues of SARS-CoV-2 spike glycoproteins¹⁹.

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A possible mechanism of action of intravenous administration of AgNPs for COVID-19 infection might consist of direct binding of SARS-COV-2 viruses over the respiratory epithelium, while systemic use might reduce the fatal viremia in the bloodstream. As the viral load is reduced in the respiratory epithelium, as well as in other body fluids, there will be less chance of spread from infected persons to healthy ones. It is well known that the main source of spread of COVID-19 infection is by coughing or sneezing with expulsion of virus-loaded droplets³, which can be decreased by treatment using AgNPs⁴⁸. Studies have demonstrated that Ag⁺ ions leach out from the nanoparticles, which inhibit virus binding with respiratory epithelium⁴⁹. The Ag+ ions released from the AqNPs result in alteration of the pH of the respiratory epithelium. This shift in pH value to the alkaline region, prevents acid-dependent activation of the virus, and the environment will be hostile for the viruses to replicate and survive. Experimental evidence suggests that there is direct low pH-dependent fusion activation of SARS-CoV-2 during entry into host cells⁵⁰. If the virus has already entered to the host cell and introduces a replication cycle, then the AgNPs can bind to viral RNA and proteins, inhibiting viral replication and further spreading of the virus^{24,40}. The disruption of the immune system in COVID-19-related pneumonia leads to excess production of proinflammatory cytokines⁵¹. AqNPs may decrease the production of proinflammatory cytokines and increase the production of anti-inflammatory cytokines leading to decreased mortality. AqNPs have a long half-life⁵⁰ and high antibacterial activity against various gram-negative and gram-positive bacteria, preventing secondary bacterial infections, as commonly seen in severe cases of COVID-19 pneumonia.

Our current study findings are in line with recently published data summarizing the antiviral activity of AgNPs (size of 2–15 nm) against SARS-CoV-2, their immunomodulatory action due to their ability to inhibit cytokine storms, and their anti-inflammatory, anti-fibrosis activities, and secondary prevention by potent antimicrobial effects²⁴. A recent study including health care workers in high-risk areas, demonstrated that the application of AgNPs (mouthwash and nose-rinse solution containing 60 ppm) can prevent incidence of SARS-CoV-2 infection with an 85% efficacy⁵².

Limitations of this study.

In the current study, due to the small sample size, we could not make definitive statements regarding the causal relationship of the observed findings within our study. In addition, some variables were missing due to chance. Another important aspect that should be mentioned is that none of the patients had access to the ICU due to limited bed capacity when study recruitment took place during the peak times of the COVID-19 outbreaks.

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We conclude, that this is the first study performed on moderate-severe and severe COVID-19 pneumonia patients using intravenous administration of AgNPs. Intravenous use of AgNPs proved to be safe and effective and could represent an affordable and accessible additional treatment for severe COVID-19 pneumonia cases by reducing mortality and supplemental oxygen need, regardless of SARS-COV-2 variants. AgNPs might also be a solution for other respiratory infections, given the increasing acquired resistance of pathogens against established anti-infective medicines.

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Author contribution statement:

- Laura Wieler: Conceived and designed the experiments; Contributed reagents, materials, analysis tools or data; Wrote the paper.
- Oana Vittos: Conceived and designed the experiments; Analyzed and interpreted the data;

 Wrote the paper.
- Nirmalya Mukherjee: Conceived and designed the experiments
- 369 Subhasish Sarkar: Conceived and designed the experiments; Performed the experiments;
- 370 Analyzed and interpreted the data; Wrote the paper

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Data availability stateme	nt:
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Data included in article/supp. material/referenced in article

Declaration of interest's statement:

The authors declare the following conflict of interests: Financial competing interest: The author LW is employed at the company BHS Medical Solutions GmbH that sponsored the material AgSept® in the clinical trial. The author LW declares that she was not involved neither in the clinical trial, nor the statistical analysis of the data. The company BHS Medical Solution has filed an application for a patent correlated to this issue.

384	Tables and figures.
385	The tables of this study are supplied in separate files each.
386	
387 388	Figure 1. Potential activity of silver nanoparticles (AgNPs) against SARS-CoV-2 infection. Points of mode of action are depicted. Created with BioRender.com.
389	
390 391 392 393 394	Figure 2. Comparison between the Indian and the national EWS (NEWS2)s system. Parameters included in score system are depicted. Abbreviations: P: responsive to pain stimuli, U: unconscious; V: responsive to verbal stimuli. Asterisk(*) indicates that this value is only included in the Indian version of the EWS.
395 396	Figure 3. Clinical trial design and patient recruitment process.
397 398	Figure 4. 5 -day survival and national EWS score ranges for case group (AgNPs, lines 1 to 3) and control group (line 4 to 6).
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400 401	Figure 5. Supplemental oxygenation needs during hospitalization for surviving patients on Day 5.

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Table 1. Baseline characteristics.

	Fema	ale	Ma	Male		al	p value*
	AgNP treatment group	Control group	AgNP treatment group	Control group	AgNP treatment group	Control group	-
Consciousness N (%)							
Conscious	3 (60%)	2 (40%)	5 (33.33%)	10 (66.67%)	8 (40%)	12 (60%)	0.2059
Unconscious	2 (40%)	3 (60%)	10 (66.67%	5 (33.33%)	12 (60%)	8 (40%)	
Concomitant diseases N (%)	5 (100%)	5 (100%)	14 (93.33%)	13 (86.67%)	19 (95%)	18 (90%)	1.0000
Diabetes	4 (80%)	5 (100%)	11 (73.33%)	11 (73.33%)	15 (75%)	16 (80%)	1.0000
Hypertension	4 (80%)	2 (40%)	11 (73.33%	8 (53.33%)	15 (75%)	10 (50%)	0.1025
Coronary artery disease	1 (20%)	0 (0%)	3 (21.43%)	0 (0%)	4 (21.05%)	0 (0%)	0.1050
Pulmonary disease	1 (20%)	0 (0%)	3 (21.43%)	0 (0%)	4 (21.05%)	0 (0%)	0.0471
Vital signs, Mean±SD							
Temperature	37.3±0.8	37.6 (1.4)	36.7 (0.4)	37.7 (1.0)	36.9 (0.6)	37.7(1.1)	0.0374
Respiratory rate	21.2±3	19.2 (1.1)	20.4 (2.7)	20.3 (2)	20.6 (2.7)	20.1 (1.8)	0.4745
SpO2	89.2±6.7	93 (6.6)	91.6 (3.9)	93.3 (2.7)	91 (4.6)	93.3 (3.8)	0.0635
SBP (mm/Hg)	128.4±34.7	123±11. 1	120.3±18.5	121.7±17.2	122.4±22. 7	122±15. 7	0.9035
DBP (mm/Hg)	76.8±19.4	74±4.9	71.1±16.6	74.1±7.9	72.6±17	74.1±7.1	0.2971
HR beats/min	98.2 (13.6)	85.2 (9.4)	91.9 (14.3)	87 (16.1)	93.5 (14.1)	86.6 (14.5)	0.0771
EWS at inclusion (national)	8.4±4.1	6.2±1.9	7.9±2.2	6.9±2.7	8.0±2.7	6.8±2.5	0.1299
EWS at inclusion (Indian)	9.6±3.3	9.2±1.9	10.3±1.7	9.1±2.4	10.1±2.1	9.2±2.2	0.1911

^{*}The P values were determined using the Wilcoxon test for continuous variables and chi-square or Fisher's exact test for frequencies.

Abbreviations: AgNPs: silver nanoparticles; EWS: early warning score; DBP: diastolic blood pressure; HR: heart rate; SpO2: oxygen saturation; SBP: systolic blood pressure.

Table 2. The 5 -day and 30-day survival rates.

	Statistics	AgNP treatment group	Control group	Total	P*
		(N= 20)	(N= 20)	(N= 40)	_
Patient alive on Day 5 N (%)	Yes	13 (65%)	5 (25%)	18 (45%)	0.0110
	No	7 (35%)	15 (75%)	22 (55%)	_
		AgNP treatment group	Control group	Total	P**
		(N= 19) ***	(N= 19)***	(N= 38)	_
Patient alive on	Yes	12 (63.2%)	3 (15.8%)	15 (39.5%)	
Day 30 N (%)	No	7 (36.8%)	16 (84.2%)	23 (60.5%)	0.0069

^{*}The P values were determined using the chi-square test.

Abbreviations: AgNPs: silver nanoparticles.

^{**}The P values were determined using Fisher's exact test.

^{***}At the 30-day evaluation, two patients were lost to follow-up, one in each group.

Table 3. Survival rates (Day-5).

0 ,	AgNP treatment group	Control			P* value usi Indian EWS	alue using the an EWS	
Statistics	N=20	N=20	Treatment allocation and NEWS2 score	Baseline NEWS2 score	Treatment allocation and Indian EWS score	Baseline Indian EWS	
No. of surviving patients on Day 5, N (%)	13 (65%)	5 (25%)	0.0097	0.0028	0.0211	0.0036	

^{*}The p values were determined using two Cox regression models, that included the baseline National, and Indian EWSs as explanatory variables.

Abbreviations: AgNPs: silver nanoparticles; EWS: early warning score, NEWS2: national EWS modification 2

Table 4. Supplemental oxygenation.

	Supplementa Total group	l oxygenation	Supplemental oxygenation Patients alive on Day 5		
	Results of the	e Kaplan-Meier a	ınalysis		
Statistics	AgNP treatment group	Control	AgNP treatment group	Control group	
	N=20	N=20	N=13	N=5	
Number of patients who stopped requiring supplemental oxygenation during the study	14	8	13	2	
Number of patients who never stopped requiring supplemental oxygenation during the study	6	12	0	3	
Time supplemental oxygenation was required		401			
Mean (SD)	3.2 (1.05)	5.0 (0.00)	3.2 (1.09)	5.0 (0.00)	
Median	3		3		
(95% confidence interval)	(3, 5)	(5, NC)	(2, 3)	(5, NC)	
25 th -75 th percentile (Q1-Q3)	3-5	5 - NA	3-3	5 - NA	
Minimum-Maximum	2-5	5-5	2-5	5-5	
	P-value*		P-value *		
	<0.0001		0.0033		

^{*} The P values were determined using the Wilcoxon test.

Table 5. Laboratory parameters assessed during the study.

Devementer (Mean : CD)	Assessment time			
Parameter (Mean±SD)		Screening	Day 1	Day 3
Creatinine	AgNP group	1.2±1	1.3±1	0.9±0.3
(mg/dL)	Control group	1.1±0.8	1.2±1	1.4±0.9
(IIIg/aL)	P* value	0.6245	0.8157	0.0330
SGOT	AgNP group	56.4±38.1	51.6±26	46.7±19.8
	Control group	51.3±32.1	52.7±27.1	56.1±18.8
(U/L)	P* value	0.6524	0.9046	0.1645
SGPT	AgNP group	54.9±39.3	51.9±38.5	45.6±18.4
(U/L)	Control group	47.7±28.8	49.6±24.8	54.3±19.6
(6/L)	P* value	0.5104	0.8198	0.1899
Alkalina phaanhatasa	AgNP group	70.3±22.3	69.3±24.1	59.4±16.3
Alkaline phosphatase	Control group	65.7±27.8	64.5±25.9	67±13.6
(U/L)	P* value	0.5642	0.5503	0.1531
Tatal bilimekin	AgNP group	1.1±0.7	0.9±0.4	0.9±0.4
Total bilirubin	Control group	1.1±0.3	1.1±0.3	1.2±0.4
(mg/dL)	P* value	0.9098	0.0982	0.0455
Discot bilisedis	AgNP group	0.4±0.2	0.4±0.2	0.4±0.2
Direct bilirubin	Control group	0.4±0.1	0.5±0.3	0.6±0.3
(mg/dL)	P* value	0.7995	0.0762	0.0098
	AgNP group	6.3±0.8	6.2±0.8	6.2±0.7
Total protein	Control group	5.9±0.8	6±0.6	5.9±0.7
(g/dl)	P* value	0.0569	0.2460	0.2509
	AgNP group	3.2±0.8	3±0.6	3±0.5
Albumin	Control group	3±0.7	2.9±0.7	2.7±0.7
(g/dl)	P* value	0.5575	0.8742	0.1821
	AgNP group	140.8±6.7	140.8±6.6	138.1±7.5
Sodium	Control group	136.9±7.9	135±5.8	135.1±4.6
(mEq/L)	P* value	0.1018	0.0064	0.1737
	AgNP group	4.2±0.8	4.2±0.7	4.1±0.6
Potassium	Control group	4.1±0.8	4.2±0.8	4.3±1.1
(mEq/L)	P* value	0.6935	0.9387	0.5152
	AgNP group	240.5±105.6	204.1±95.9	162.7±33.3
Glucose	Control group	241.4±105.2	187.6±72.3	186.3±79.1
(mg/dl)	P* value	0.9779	0.5495	0.2617
14/0.0	AgNP group	13±3.8	12.5±4.4	11.3±2.7
WBC	Control group	11.7±3.6	11.6±2.8	12.2±2.5
10 ³ /uL	P* value	0.2775	0.4659	0.3043
	AgNP group	12.2±2	12.1±1.9	11.4±1.6
HGB	Control group	11.3±1.6	10.9±1.5	10.3±1.3
(g/dL)	P* value	0.1235	0.0417	0.0453
	AgNP group	10.9±3.5	10.1±3.7	7.5±2.9
Neutrophiles	Control group	9.6±3.2	8.7±2.6	9±2.4
(10³/uL)	P* value	0.2307	0.1733	0.1285
	AgNP group	1.1±0.9	1.5±1.3	2.2±1.1
Lymphocytes	Control group	1.5±1.3	1.9±0.9	2.9±0.9
(10³/uL)	P* value	0.3109	0.3040	0.0656
	1 Value	3.0100	0.00 r0	3.0000

^{*}The P values were determined using a two-sample t test.

Abbreviations: HGB: Hemoglobin; SGOT: serum glutamic-oxaloacetic transaminase; SGPT: serum glutamic pyruvic transaminase; SpO2: O₂ saturation; WBCs: white blood cells.

Potential Activity of Silver Nanoparticles (AgNPs) against SARS-CoV-2 infection







